



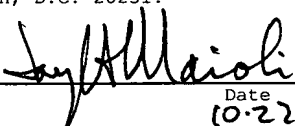
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7217/62641

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Masayuki OHBAYASHI  
Serial No.: 09/687,543  
Filed: October 13, 2000  
For: RECORDING AND/OR PLAYBACK APPARATUS AND METHOD  
Group A.U.: 2132

|  |                  |
|--|------------------|
| I hereby certify that this paper is being deposited this date with the U.S. Postal Service in first class mail addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. |                  |
|   | Date<br>10.22.01 |
| Jay H. Maioli<br>Reg. No. 27,213   |                  |

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Group 2100

October 22, 2001  
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

As a means of complying with the duty of disclosure set forth in 37 CFR § 1.56 and in keeping with the guidelines of 37 CFR § 1.98, Applicant hereby submits information thought to be relevant to the examination of the above-identified application. Also submitted herewith is a completed form PTO-1449.

This information came to light during the examination of

a counterpart application in the United Kingdom Patent Office in a Search Report dated September 24, 2001. Accordingly, the undersigned hereby certifies that the information submitted herewith is being submitted within three months from the date of that Office Action.

To the best of the undersigned's knowledge, no Office Action has yet been received in the above-identified application.

Applicant, through his undersigned attorney, hereby certifies that, unless submitted herewith, no English language translation is presently available to those individuals identified in 37 CFR § 1.56(c) for any non-English language references cited in the European Patent Office Action.

A copy of the Search Report from the United Kingdom Patent Office showing the relevance of the attached references is also submitted herewith.

United Kingdom Patent Application No. GB 2 329 509 (Koyata et al.) apparently relates to a recording/reproducing apparatus for use with a digital audio signal recorded to or reproduced from a magneto-optical disk. Character information can be input and recorded on the disk in a table of contents in a variety of character sets, and automatic conversion of titles between languages can be performed.

United Kingdom Patent Application No. GB 2 328 552 (Abe et al.) apparently relates to a video signal processor which records video signals and can perform editing on the recorded signals.

United Kingdom Patent Application No. GB 2 306 754

(Nishizawa) apparently relates to an audio player for reproducing data as audio signals, for use by blind persons. The audio player consists of a reader for reading data from a recording medium, a user input section, a memory section, an audio reproducing section, and a controlling section.

United Kingdom Patent Application No. GB 2 306 044

(Sakuma) apparently relates to a recording and reproducing apparatus for use with magneto-optical disks or the like, and is capable of performing continuous recording and reproducing over two or more disk media.

European Patent Office Patent Application No. EP 0 713 334 (Uehara et al.) apparently relates to a real-time image recording and reproducing method and apparatus where broadcast images are stored sequentially, allowing a user to replay any desired scene, and to a video library system which produces index images from recorded images on a recorded video tape and displays them on a screen.

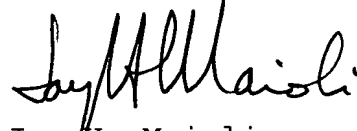
PCT Patent Application No. WO 99/44202 (Fukuda) apparently relates to a recording and reproducing device and method which automatically generates index data for music data recorded on a recording medium. The index data allows for management of all available information regarding the recorded music data.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if a fee is required for this submission, the Commissioner is

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authorized to charge the requisite fee to our Deposit Account  
No. 03-3125.

Respectfully submitted,  
COOPER & DUNHAM LLP

A handwritten signature in cursive script, appearing to read "Jay H. Maioli".

Jay H. Maioli  
Reg. No. 27, 213

JHM/AVF

|   |                 |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|---|-----------------|--|---|---|---|---------------------------------|---|--------------------------|-----------|--------------------|----------|----------------------------|----|---|
| Form PTO-1449   |                 | U.S. Department of Commerce<br>Patent and Trademark Office |   |   |   | Atty. Docket No.<br>7217/62641  |   | Serial No.<br>09/687,543 |           |                    |          |                            |    |   |
| <div>LIST OF PRIOR ART CITED BY APPLICANT<br/>(Use several sheets if necessary)</div>   |                 |  |   |   |   | Applicant<br>Masayuki OHBAYASHI |   |                          |           |                    |          |                            |    |   |
|   |                 |  |   |   |   | Filing Date<br>13 OCT 2000      |   | Gr up<br>2132            |           |                    |          |                            |    |   |
| <div>U.S. PATENT DOCUMENTS</div>  |                 |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
| Examiner Initials   | Document Number |  |   |   |   |                                 |   | Date                     | Name      | Class              | Subclass | Filing Date if Appropriate |    |   |
|   | AA              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | AB              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | AC              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | AD              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
| <div>FOREIGN PATENT DOCUMENTS</div>   |                 |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | Document Number |  |   |   |   |                                 |   | Date                     | Country   | Class              | Subclass | Translation                |    |   |
|   |                 |  |   |   |   |                                 |   |                          |           |                    |          | Yes                        | No |   |
|   | AE              | 2  | 3 | 2 | 9 | 5                               | 0 | 9                        | 24 MAR 99 | United Kingdom     | G 11 B   | 27/32                      | X  |   |
|   | AF              | 2  | 3 | 2 | 8 | 5                               | 5 | 2                        | 24 FEB 99 | United Kingdom     | G 11 B   | 27/28                      | X  |   |
|   | AG              | 2  | 3 | 0 | 6 | 7                               | 5 | 4                        | 7 MAY 97  | United Kingdom     | G 11 B   | 27/10                      | X  |   |
|   | AH              | 2  | 3 | 0 | 6 | 0                               | 4 | 4                        | 23 APR 97 | United Kingdom     | G 11 B   | 27/10                      | X  |   |
|   | AI              | 0  | 7 | 1 | 3 | 3                               | 3 | 4                        | 22 MAY 96 | European Pat. Off. | H 04 N   | 5/907                      | X  |   |
|   | AJ              | 9  | 9 | 4 | 4 | 2                               | 0 | 2                        | 2 SEP 99  | PCT                | G 11 B   | 27/034                     |    | X |
| <div>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</div>   |                 |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | AK              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | AL              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | AM              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | AN              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | AO              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
|   | AP              |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |
| EXAMINER  |                 |  |   |   |   |                                 |   | DATE CONSIDERED          |           |                    |          |                            |    |   |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this from with next communication to applicant. |                 |  |   |   |   |                                 |   |                          |           |                    |          |                            |    |   |